# New table of bounds on permutation codes under Kendall $\tau$ -metric

Alireza Abdollahi

Department of Pure Mathematics Faculty of Mathematics and Statistics University of Isfahan Isfahan 81746-73441 Iran

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# This is a joint work done in CSG Research Group (Code-Scheme-Group)



Figure : right to left: Jafari, Parvaresh, Khatami, Sobhani, Bagherian, Abdollahi

### CSG Research group Home Page: https://csg.ui.ac.ir/

## Rank Modulation I

In order to overcome the challenges posed by flash memories, the rank modulation scheme was proposed in [A. Jiang, R. Mateescu, M. Schwartz, and J. Bruck, Correcting charge-constrained errors in the rank-modulation scheme, IEEE Trans. Inform. Theory, **56** (2010), 2112-2120. (first appeared in ISIT 2008)]



Figure : right to left: Bruck, Schwartz, Mateescu, Jiang

A. Abdollahi Permutation codes under Kendall au-metric

### Definition (Rank Modulation)

Use the relative order of cell levels to represent data.



Figure : Figures are taken from (FMS2014\_Tutorial\_Part3\_Jiang.pdf) in A. Jiang's Home Page

#### Example: Every rank has one cell



Figure : Figure is taken from (FMS2014\_Tutorial\_Part3\_Jiang.pdf) in A. Jiang's Home Page

This corresponds to the permutation [5, 3, 4, 6, 1, 2] (representated by array) or (1, 5)(2, 3, 4, 6) as product of cycles.

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#### Definition

The Kendall distance between two permutations  $\sigma$  and  $\tau$  denoted by  $d_{\kappa}(\sigma, \tau)$  is the minimum number of adjacent transpositions (i, i + 1) such that their product is equal to  $\sigma \cdot \tau^{-1}$ , where the  $\tau^{-1}$ is the inverse of  $\tau$  and the composition  $\cdot$  of two permutations is done from the right i.e. the value of  $\sigma \cdot \tau^{-1}$  at  $\ell \in [n]$  is equal to the value of  $\tau^{-1}$  at  $\sigma(\ell)$ .

#### Example

 $d_{\mathcal{K}}([2,1,3,4,5],[1,2,3,5,4]) = d_{\mathcal{K}}((1,2),(4,5)) = 2,$  $d_{\mathcal{K}}([2,3,1,5,4],[2,1,3,5,4]) = d_{\mathcal{K}}((1,2,3)(4,5),(4,5)(1,2)) = 1.$ 

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#### Main problem of coding theory for PC

Find  $P(n, d) := \max\{|C| \mid \emptyset \neq C \subseteq S_n d_K(C) \ge d\}$  or find "good" lower or upper bounds for P(n, d). Here  $d_K(C) := \min\{d_K(\sigma, \tau) \mid \sigma \neq \tau, \sigma, \tau \in C\}.$ 

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$$P(3,3) = 2$$
,  $P(4,3) = 5$ ,  $P(4,4) = 3$ .

• P(5,3) = 20, P(5,4) = 12, P(5,5) = 6, P(5,6) = 5. P(6,4) = 64, P(6,5) = 26, P(6,6) = 20, P(6,7) = 11, P(6,8) = 7, P(6,9) = P(6,10) = 4. [S. Vijayakumaran, Largest permutation codes with the Kendall  $\tau$ -metric in  $S_5$ and  $S_6$ , IEEE Comm. Letters, **20** (2016), No. 10, 1912-1915.]

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# The "least" unknown value of P(n, d)—II

### The "least" unknown value of P(n, d)

- P(6,3) ≥ 102. [S. Vijayakumaran, Largest permutation codes with the Kendall *τ*-metric in S5 and S6, IEEE Comm. Letters, 20 (2016), No. 10, 1912-1915.]
- P(6,3) ≤ 116. [A. Abdollahi, J. Bagherian, F. Jafari, M. Khatami, F. Parvaresh and R. Sobhani, New upper bounds on the size of permutation codes with minimum Kendall *τ*-metric of three, to appear in Cryptogr. Commun.]

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#### Conjecture

P(6,3) = 102. A possible way to attack the conjecture is to solve a specific binary linear programming problem with 720 indeterminates and 720 constraints given in [S. Vijayakumaran, Largest permutation codes with the Kendall  $\tau$ -metric in  $S_5$  and  $S_6$ , IEEE Comm. Letters, **20** (2016), No. 10, 1912-1915.]

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## Our main result

#### Theorem

$$P(n,d) = 4$$
 for all  $n \ge 6$  and  $\frac{3}{5} \binom{n}{2} < d \le \frac{2}{3} \binom{n}{2}$ .

#### Sketch of Proof (Upper bound)

It follows from Theorem 23 of [X. Wang, Y. Zhang, Y. Yang and G. Ge, New bounds of permutation codes under Hamming metric and Kendall's  $\tau$ -metric, Des. Codes Cryptogr., 85 (2017), No. 3, 533-545.] that if  $P(n, d) \ge 5$ , then we must have  $\binom{5}{2}d \le 6 \times \binom{n}{2}$  and so  $d \le \frac{3}{5}\binom{n}{2}$ . Therefore  $P(n, d) \le 4$ .

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#### Sketch of Proof (Lower bound)

We need the following lemma: Since  $P(n, d + 1) \leq P(n, d)$ , it is enough to show that there exists an  $P(n, \lfloor \frac{2}{3} \binom{n}{2} \rfloor) \geq 4$  or equivalently show that there exists a subset C of  $S_n$  of size 4 such that  $d_K(C) \geq \lfloor \frac{2}{3} \binom{n}{2} \rfloor$ .

## Our main result

#### Theorem

$$P(n,d) = 4$$
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### Sketch of Proof (Constructing Permutations)

We need the following lemma: Let  $n \ge 5$  be an integer. If  $n \equiv 0, 2 \pmod{3}$  ( $n \equiv 1 \pmod{3}$ ), then there exist 3 non-empty subsets with the same sumset which partitions  $[n] ([n] \setminus \{1\})$ , respectively.

If n is 5, 6, 7, 8, 9 and 10, respectively, then
{{5}, {1,4}, {3,2}}, {{6,1}, {5,2}, {3,4}}, {{2,7}, {3,6},
{4,5}}, {{8,4}, {7,3,2}, {1,5,6}}, {{6,5,4}, {9,1,2,3},
{8,7} and {{10,8}, {9,2,7}, {3,4,6,5}} are the partitions
of [n] or [n] \ {1} satisfying the lemma.

- If *n* is 5, 6, 7, 8, 9 and 10, respectively, then  $\{\{5\}, \{1,4\}, \{3,2\}\}, \{\{6,1\}, \{5,2\}, \{3,4\}\}, \{\{2,7\}, \{3,6\}, \{4,5\}\}, \{\{8,4\}, \{7,3,2\}, \{1,5,6\}\}, \{\{6,5,4\}, \{9,1,2,3\}, \{8,7\}\}$  and  $\{\{10,8\}, \{9,2,7\}, \{3,4,6,5\}\}$  are the partitions of [n] or  $[n] \setminus \{1\}$  satisfying the lemma.
- Now suppose that n > 10. Hence there exist t > 0 and  $r \in \{5, 6, 7, 8, 9, 10\}$  such that n = 6t + r. Note that if  $n \equiv 1 \pmod{3}$ , then  $r \in \{7, 10\}$ .

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- Consider t + 1 subsets  $\Theta_1, \dots, \Theta_{t+1}$  of [n] as follows:

$$\underbrace{1,\ldots,r}_{\Theta_1},\underbrace{r+1,\ldots,r+6}_{\Theta_2},\ldots,\underbrace{n-11,\ldots,n-6}_{\Theta_t},\underbrace{n-5,\ldots,n}_{\Theta_{t+1}}$$

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Sketch of Proof (Constructing Permutations) case  $n-1 \equiv 0,2 \pmod{3}$ 

•  $N := \sum_{i=1}^{n-1} i = \binom{n}{2}.$ 

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• pairwise disjoint subsets  $\Delta_1, \Delta_2$  and  $\Delta_3$  of [n-1] such that  $\sum_{j \in \Delta_i} j = \frac{N}{3}$  for all  $i \in \{1, 2, 3\}$ .

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# Thanks for your attention